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#### PATENT

# PAIN THE UNITED STATES PATENT AND TRADEMARK OFFICE

### In re Application of:

Menzo Havenga; Ronald Vogels; Abraham Bout

Serial No.: 09/348,354

**Filed:** July 7, 1999

For: CHIMAERIC ADENOVIRUSES

Examiner: To be assigned

Group Art Unit: To be assigned

Attorney Docket No.: 4123US

#### **CERTIFICATE OF MAILING**

I hereby certify that this correspondence along with any attachments referred to or identified as being attached or enclosed is being deposited with the United States Postal Service as First Class Mail (under 37 C.F.R. § 1.8(a)) on the date of deposit shown below with sufficient postage and in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

September 27, 1999 Date of Deposit

Signature of registered practitioner or other person having reasonable basis to expect mailing to occur on date of deposit shown pursuant to 37 C.F.R. § 1.8(a)(1)(ii)

Darlene Foucault
Typed/printed name of person whose signature is contained above

## INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

In compliance with the duty to disclose information material to patentability pursuant to 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents are enclosed pursuant to 37 C.F.R. § 1.98(a).

In accordance with 37 C.F.R. § 1.97(g) and (h), filing of this Information Disclosure Statement is not to be construed as a representation that a search has been made or an admission that the information cited herein is, or is considered to be, material to patentability

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as defined in 37 C.F.R. § 1.56(b). Further, no representation is made by Applicants herein that no other possible material information as defined in 37 C.F.R. § 1.56(b) exists.

### Foreign Patent Documents

Document No.	<u>Date</u>	Country
WO 97/00326	01/03/97	PCT

In compliance with the duty to disclose information material to patentability pursuant to 37 C.F.R. § 1.56 & 1.175, applicant hereby identifies the following listed copending applications naming the same inventors:

Serial No.: 08/515,495 Filed: August 15, 1995

For: RECOMBINANT VECTORS DERIVED FROM ADENOVIRUS FOR USE

IN GENE THERAPY

Serial No.: 08/793,170 Filed: March 25, 1997

For: PACKAGING SYSTEMS FOR HUMAN RECOMBINANT ADENOVIRUS

TO BE USED IN GENE THERAPY

Serial No.: 08/930,094 Filed: December 15, 1997

For: IMPROVED RETROVIRAL VECTORS, ESPECIALLY SUITABLE FOR

**GENE THERAPY** 

Serial No.: 09/065,752 Filed: April 24, 1998

For: PACKAGING SYSTEMS FOR HUMAN RECOMBINANT ADENOVIRUS

TO BE USED IN GENE THERAPY

Serial No.: 09/097,239 Filed: June 12, 1998

For: HIGH THROUGHPUT SCREENING OF GENE FUNCTION USING AV

LIBRARIES FOR FUNCTIONAL GENOMICS APPLICATIONS

Attorney Docket: 4123US

Serial No.: 09/298,745 Filed: April 23, 1999

For: MEANS AND METHODS FOR NUCLEIC ACID DELIVERY VEHICLE

DESIGN AND NUCLEIC ACID TRANSFER

Serial No.: 09/315,244 Filed: May 20, 1999

For: DISPLAY OF VIRAL PROTEINS

Serial No.: 09/332,803 Filed: June 14, 1999

For: PACKAGING SYSTEMS FOR HUMAN RECOMBINANT ADENOVIRUS

TO BE USED IN GENE THERAPY

Serial No.: 09/333,820 Filed: June 15, 1999

For: PACKAGING SYSTEMS FOR HUMAN RECOMBINANT ADENOVIRUS

TO BE USED IN GENE THERAPY

Serial No.: 09/334,765 Filed: June 16, 1999

For: PACKAGING SYSTEMS FOR HUMAN RECOMBINANT ADENOVIRUS

TO BE USED IN GENE THERAPY

Serial No.: 09/356,575 Filed: July 19, 1999

For: PACKAGING SYSTEMS FOR HUMAN RECOMBINANT ADENOVIRUS

TO BE USED IN GENE THERAPY

Serial No.: 09/358,036 Filed: July 21, 1999

For: HIGH-THROUGHPUT SCREENING OF GENE FUNCTION USING

ADENOVIRAL LIBRARIES FOR FUNCTIONAL GENOMIC

**APPLICATIONS** 

Applicants offer to supply any explanation or discussion of the documents which the Examiner feels is necessary or desirable and which is requested.

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This Information Disclosure Statement is filed within three (3) months of the filing date of the above-identified application, and no certification pursuant to 37 C.F.R. § 1.97(c) or a fee pursuant to 37 C.F.R. 1.17(p) is required.

Respectfully submitted,

Allen C. Turner

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Date: September 27, 1999

ACT/df

Enclosures: Form PTO-1449

Copy of documents cited